

Catalent Inc. – 2021 Annual Compliance Certification

Catalent Inc. (“Catalent”) has established a comprehensive corporate compliance program, applicable to all of its direct and indirect subsidiaries, which is designed to prevent, detect, and promptly address any instances of misconduct or violations of company policy in accordance with the U.S. Dept. of Health and Human Services’ Office of Inspector General’s “Compliance Program Guidance for Pharmaceutical Manufacturers” 68 CFR 23731. Catalent’s corporate compliance program is reasonably tailored to the size, organizational structure, and resources of the company and is built upon our corporate values of conducting business with uncompromising integrity, personal accountability, and respect for others.

Catalent is committed to conducting business in compliance with all applicable legal requirements and high ethical standards. While our business model does not generally entail promotion to or interaction with healthcare providers, Catalent’s corporate policies incorporate the principles set forth in the Pharmaceutical Research and Manufacturers of America’s “Code on Interactions with Health Care Professionals”. Pursuant to Section 119400-119402 of the California Health and Safety Code, Catalent enforces a \$1500 annual limit on gifts, promotional materials, items or activities that may be provided by Catalent to an individual medical or health care professional, as defined under the California Health and Safety Code. Further, Catalent declares, in good faith, that it is not aware of any violations of its corporate compliance program relating to California medical or health care providers in the past calendar year and/or at any time since the effective date of California Health and Safety Code 119400-119402.

Catalent’s Code of Conduct, a/k/a our Standards of Business Conduct (“SBC”), forms the foundation of our Compliance Program and can be found [here](#). For a copy of Catalent’s SBC, this declaration, or additional information about Catalent’s comprehensive compliance program please call 1-732-537-6412 and ask to speak with a member of Catalent’s Ethics & Compliance team or email us at ethics@catalent.com.