

## Catalent Inc. – 2020 Annual Compliance Certification

Catalent Inc. (“Catalent”) has established a comprehensive compliance program, applicable to all its direct and indirect subsidiaries, that is designed to prevent, detect and promptly address any instances of misconduct, in accord with the US Dept. of Health and Human Services’ Office of Inspector General’s “Compliance Program Guidance for Pharmaceutical Manufacturers” 68 CFR 23731. Catalent’s Compliance Program is reasonably tailored to the size, organizational structure and resources of Catalent and built upon our corporate values of conducting all our business with uncompromising integrity, personal accountability and respect for others.

Catalent is committed to conducting all its business in compliance with all applicable legal requirements and high ethical standards. While our business model does not generally entail promotion to or interaction with healthcare providers, Catalent’s corporate policies incorporate the principles set forth within the Pharmaceutical Research and Manufacturers of America’s “Code on Interactions with Health Care Professionals” and pursuant to Section 119400-119402 of the California Health and Safety Code set forth a \$1500 annual limit on gifts, promotional materials, items or activities that may be provided by Catalent to an individual medical or health care professional, as defined under the California Health and Safety Code. Further, Catalent declares, in good faith, that it is not aware of any violations of its Compliance Program relating to California medical or health care providers in the past calendar year and /or at any time since the effective date of California Health and Safety Code 119400-119402.

Catalent’s Code of Conduct a/k/a our Standards of Business Conduct (“SBC”) forms the foundation of our Compliance Program and can be found [here](#). For a copy of Catalent’s SBC, this declaration or additional information about Catalent’s Comprehensive Compliance Program please call 1-877-587-1835 and ask to speak with a member of Catalent’s Ethics & Compliance team.